

4. On August 20, 2021, the Commission on Ethics mailed Appellant a postcard intended to remind him of his obligation to file a 2020 CE Form 1. The Commission mailed the postcard to the 816 N. Rio Vista Blvd. address.

5. On September 8, 2021, the Commission on Ethics mailed Appellant a courtesy notice of fines accruing. This was sent to the 816 N. Rio Vista Blvd. address.

6. On October 11, 2023, the Commission mailed Appellant a notice of assessment of his automatic fine. The notice was sent by mail to the 816 N. Rio Vista Blvd. address. It indicated the total of Appellant's accrued automatic fine was \$1,500.00 (\$25 per day for 60 days late) and provided that the Commission must receive an appeal of the fine by November 9, 2023.

7. On November 7, 2023, Appellant submitted his 2020 CE Form 1 to the Commission on Ethics, 797 days late.

8. Also on November 7, 2023, the Commission received Appellant's appeal of his automatic fine for Form Year 2020. In part B of his appeal form, Appellant checked "Other unusual circumstances" as the general reason for his appeal. In part C of his appeal form, where an appellant is asked to provide detailed explanation of his or her appeal, Appellant wrote: "I filled out a statement in 2020 when I was hired. Since I never had to complete this form before, I thought that was my submission for 2020. I left my position in July of 2021 so when I received the form in 2021, I did not file since I was no longer in the public position required to file."

Conclusions of Law

9. The Commission has jurisdiction over the subject matter of this proceeding pursuant to Section 112.3145, Florida Statutes.

10. Financial disclosure is required of public officials and employees because it enables the public to evaluate potential conflicts of interest, deters corruption, and increases public confidence in government.

11. Section 112.3145(8)(f)2., Florida Statutes, states:

Any reporting person may appeal or dispute a fine, based upon unusual circumstances surrounding the failure to file on the designated due date, and may request and is entitled to a hearing before the commission, which may waive the fine in whole or in part for good cause shown. Any such request must be in writing and received by the commission within 30 days after the notice of payment due is transmitted. In such a case, the reporting person must, within the 30-day period, notify the person designated to review the timeliness of reports in writing of his or her intention to bring the matter before the commission. For purposes of this subparagraph, the term "unusual circumstances" does not include the failure to monitor an e-mail account or failure to receive notice if the person has not notified the commission of a change in his or her e-mail address.

12. "An elementary and fundamental requirement of due process in any proceeding which is to be accorded finality is notice reasonably calculated, under all the circumstances, to apprise interested parties of the pendency of the action and to afford them an opportunity to present their objections." Mullane v. Cent. Hanover Bank & Trust Co., 339 U.S. 306, 314 (Fla. 1950).

13. Here, Appellant stated he filed a 2019 CE Form 1 in 2020, and believed that satisfied his filing requirement for 2020. He acknowledged he received a 2020 CE Form 1 to fill out in 2021, but chose not to complete the 2020 CE Form 1. He does not claim he did not receive the notices sent to him in 2021 regarding his failure to timely file the 2020 CE Form 1. Mistaking a 2019 CE Form 1 for a 2020 CE Form 1, and then later failing to file a 2020 CE Form 1 despite receiving notice of the requirement to do so, does not constitute an "unusual circumstance" that would prevent someone from timely filing his or her CE Form 1 for 2020. Appellant mistakenly believed that leaving his position in July of 2021 relieved him of the necessity of filing a 2020 CE

Form 1. It did not. Appellant acknowledged he received the 2020 CE Form 1, but chose to ignore it. Thus, considering Appellant's claims, it does not appear that there was an "unusual circumstance" that prevented him from timely filing his 2020 CE Form 1 by September 1, 2021.

Order

Based on the foregoing facts and conclusions of law, the Commission hereby finds that unusual circumstances for the failure to file timely have not been demonstrated. We therefore affirm the assessed fine of \$1,500.00. The fine shall be paid to the Commission on Ethics, P.O. Drawer 15709, Tallahassee, FL 32317-5709, within 30 days of the date this order is rendered, unless other payment arrangements are made by contacting Kimberly Holmes, Financial Disclosure Program Administrator, at the address above or by telephone at (850) 488-7864.

ORDERED by the State of Florida Commission on Ethics meeting in public session on January 24, 2025.

Date Rendered

Luis M. Fusté
Chair, Florida Commission on Ethics

THIS ORDER CONSTITUTES FINAL AGENCY ACTION. ANY PARTY WHO IS ADVERSELY AFFECTED BY THIS ORDER HAS THE RIGHT TO SEEK JUDICIAL REVIEW UNDER SECTION 120.68, AND SECTION 112.3241, FLORIDA STATUTES, BY FILING A NOTICE OF ADMINISTRATIVE APPEAL PURSUANT TO RULE 9.110 FLORIDA RULES OF APPELLATE PROCEDURE, WITH THE CLERK OF THE COMMISSION ON ETHICS, AT EITHER 325 JOHN KNOX ROAD, BUILDING E, SUITE 200, TALLAHASSEE, FLORIDA 32303 OR P.O. DRAWER 15709, TALLAHASSEE, FLORIDA 32317-5709; AND BY FILING A COPY OF THE NOTICE OF APPEAL ATTACHED TO WHICH IS A CONFORMED COPY OF THE ORDER DESIGNATED IN THE NOTICE OF APPEAL ACCOMPANIED BY THE APPLICABLE FILING FEES WITH THE APPROPRIATE DISTRICT COURT OF APPEAL. THE NOTICE OF ADMINISTRATIVE APPEAL MUST BE FILED WITHIN 30 DAYS OF THE DATE THIS ORDER IS RENDERED.

LMF: sen

cc Howell Herring
816 N. Rio Vista Blvd.
Ft. Lauderdale, FL 33301

21-043 289105

FLORIDA
COMMISSION ON ETHICS

NOV 07 2023

RECEIVED



STATE OF FLORIDA COMMISSION ON ETHICS

325 John Knox Road
Building E, Suite 200
Tallahassee, FL 32303
Telephone: (850) 488-7864
Fax: (850) 488-3077
Email: disclosure@leg.state.fl.us

APPEAL OF AUTOMATIC FINE FOR FORM YEAR 2020

DIRECTIONS: The information you provide in this form is critical for processing your appeal in a timely manner.

In Part A, please provide current contact information. If your contact information changes while your appeal is being processed, please notify us.

In Part B, please check any boxes that specify the general reason(s) for your appeal.

In Part C, please explain in detail the reason(s) for your appeal. In addition to your written explanation in Part C, you may attach any documents that support your appeal.

IMPORTANT: TO PRESERVE YOUR RIGHT TO APPEAL, THIS FORM OR OTHER WRITTEN APPEAL (AND ANY ATTACHMENTS) MUST BE FILED WITH (RECEIVED BY) THE COMMISSION ON ETHICS WITHIN THIRTY (30) DAYS OF THE DATE THE NOTICE OF ASSESSMENT OF AUTOMATIC FINE WAS MAILED TO YOU.

PLEASE SEND YOUR COMPLETED FORM TO ONE OF THE FOLLOWING:

Mailing Address: Commission on Ethics
P.O. Drawer 15709
Tallahassee, FL 32317-5709

Physical Address: Commission on Ethics
325 John Knox Road
Building E, Suite 200
Tallahassee, FL 32303

Fax: (850) 488-3077

Email: disclosure@leg.state.fl.us

PART A: YOUR INFORMATION

Name: Howell Herring

Address: 816 N Rio Vista Blvd City: Fort Lauderdale State: FL Zip: 33301

Daytime Tel.: 954-859-5656 Cell: 215-439-5511

Email: halherring@hotmail.com Filer ID# (if known): 28915

Public Employer: Broward County Board of Commissioners

Public Position: Chief Information Officer

CONTINUED ON REVERSE SIDE

PART B: GENERAL REASON(S) FOR YOUR APPEAL

Please choose any/all reasons that apply to your appeal.

I hereby appeal the Notice of Assessment of Automatic Fine on the following basis:

- a. **Sickness or injury** (Explain in Part C and attach a statement from attending physician, including dates and nature of illness or injury)
- b. **Lack of notification – Failure to receive notice** (Explain in Part C and provide documentation that supports your assertion that you never received certified mail delinquency notice: for example, incorrect address; misdelivered mail; change in employment; extended absence from home, etc.)
- c. **Claim of timely filing of financial disclosure** (Explain in Part C and provide copy of certified mail receipt and/or copy of completed form which had been previously filed, along with a sworn notarized statement that you filed prior to the deadline)
- d. **Left public position prior to December 31, 2020** (Explain in Part C and provide confirmation from agency that your office-holding/employment ended before 12/31/2020)
- e. **Other unusual circumstance** (Explain in Part C and provide documentation explaining uncommon, rare, or sudden occurrence that prevented timely filing prior to deadline)
- f. **Not required to file** (Explain in Part C and provide documentation that supports reason for not required to file)

PART C: DETAILED EXPLANATION OF YOUR APPEAL

Please provide a detailed explanation of your appeal, including why each option you selected in Part B is applicable to you. You may use the space provided and/or attach additional pages.

I filled out a Statement in 2020 when I was hired. Since I never had to complete this form before, I thought that was my submission for 2020. I left my position in July of 2021 so when I received the form in 2021, I did not file since I was no longer in the public position required to file.

OPTIONAL REQUEST FOR HEARING

In addition to this written appeal, I specifically request to appear before the Commission in a hearing pursuant to Section 112.3144(8)(f)3 or Section 112.3145(8)(g)3, Florida Statutes. Commission meetings occur in Tallahassee.

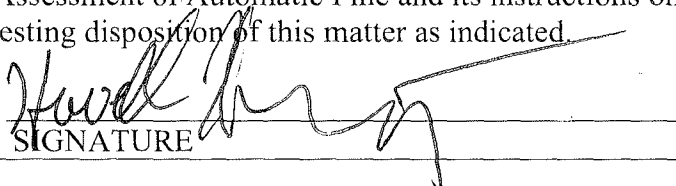
SIGNATURE

I have received and read the Notice of Assessment of Automatic Fine and its instructions on How to Appeal and I understand my options. I am requesting disposition of this matter as indicated.

10-31-2023

DATE

SIGNATURE



FOR OFFICE USE ONLY:

Howell J Herring Jr
Chief Information Officer
Broward County
Employees
816 N Rio Vista Blvd
Ft Lauderdale FL 33301 -2914

PROCESSED

FLORIDA
COMMISSION ON ETHICS

NOV 07 2020

RECEIVED



289105
289105

CHECK ONLY IF CANDIDATE OR NEW EMPLOYEE OR APPOINTEE

Herring Jr Howell J

**** THIS SECTION MUST BE COMPLETED ****

DISCLOSURE PERIOD:

THIS STATEMENT REFLECTS YOUR FINANCIAL INTERESTS FOR CALENDAR YEAR ENDING DECEMBER 31, 2020.

MANNER OF CALCULATING REPORTABLE INTERESTS:

FILERS HAVE THE OPTION OF USING REPORTING THRESHOLDS THAT ARE ABSOLUTE DOLLAR VALUES, WHICH REQUIRES FEWER CALCULATIONS, OR USING COMPARATIVE THRESHOLDS, WHICH ARE USUALLY BASED ON PERCENTAGE VALUES (see instructions for further details). CHECK THE ONE YOU ARE USING (must check one):

COMPARATIVE (PERCENTAGE) THRESHOLDS OR DOLLAR VALUE THRESHOLDS

PART A -- PRIMARY SOURCES OF INCOME [Major sources of income to the reporting person - See instructions]
(If you have nothing to report, write "none" or "n/a")

| NAME OF SOURCE OF INCOME | SOURCE'S ADDRESS | DESCRIPTION OF THE SOURCE'S PRINCIPAL BUSINESS ACTIVITY |
|--------------------------|------------------------------------|---|
| Phila Board of Pensions | 1500 JF Kenedy Blvd Phila PA 19102 | Public Employee Pension |
| | | |
| | | |

PART B -- SECONDARY SOURCES OF INCOME [Major customers, clients, and other sources of income to businesses owned by the reporting person - See instructions]
(If you have nothing to report, write "none" or "n/a")

| NAME OF BUSINESS ENTITY | NAME OF MAJOR SOURCES OF BUSINESS' INCOME | ADDRESS OF SOURCE | PRINCIPAL BUSINESS ACTIVITY OF SOURCE |
|-------------------------|---|-------------------|---------------------------------------|
| None | | | |
| | | | |
| | | | |

PART C -- REAL PROPERTY [Land, buildings owned by the reporting person - See instructions]
(If you have nothing to report, write "none" or "n/a")

| |
|------|
| None |
| |
| |

You are not limited to the space on the lines on this form. Attach additional sheets, if necessary.

FILING INSTRUCTIONS for when and where to file this form are located at the bottom of page 2.

INSTRUCTIONS on who must file this form and how to fill it out begin on page 3.

PART D — INTANGIBLE PERSONAL PROPERTY [Stocks, bonds, certificates of deposit, etc. - See instructions]
 (If you have nothing to report, write "none" or "n/a")

| TYPE OF INTANGIBLE | BUSINESS ENTITY TO WHICH THE PROPERTY RELATES |
|--------------------|---|
| None | |

PART E — LIABILITIES [Major debts - See instructions]
 (If you have nothing to report, write "none" or "n/a")

| NAME OF CREDITOR | ADDRESS OF CREDITOR |
|-------------------|---------------------------------------|
| Flagstar Mortgage | 301 W Michigan Ave, Jackson, MI 49201 |

PART F — INTERESTS IN SPECIFIED BUSINESSES [Ownership or positions in certain types of businesses - See instructions]
 (If you have nothing to report, write "none" or "n/a")

| NAME OF BUSINESS ENTITY | BUSINESS ENTITY # 1 | BUSINESS ENTITY # 2 |
|---|---------------------|---------------------|
| | | N/A |
| ADDRESS OF BUSINESS ENTITY | | |
| PRINCIPAL BUSINESS ACTIVITY | | |
| POSITION HELD WITH ENTITY | | |
| I OWN MORE THAN A 5% INTEREST IN THE BUSINESS | | |
| NATURE OF MY OWNERSHIP INTEREST | | |

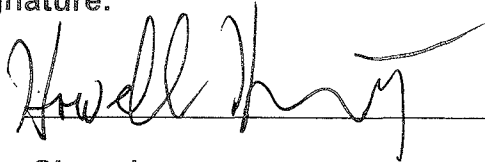
PART G — TRAINING For elected municipal officers, appointed school superintendents, and commissioners of a community redevelopment agency created under Part III, Chapter 163 required to complete annual ethics training pursuant to section 112.3142, F.S.

I CERTIFY THAT I HAVE COMPLETED THE REQUIRED TRAINING.

IF ANY OF PARTS A THROUGH G ARE CONTINUED ON A SEPARATE SHEET, PLEASE CHECK HERE

SIGNATURE OF FILER:

Signature:



Date Signed:

16-31-2023

CPA or ATTORNEY SIGNATURE ONLY

If a certified public accountant licensed under Chapter 473, or attorney in good standing with the Florida Bar prepared this form for you, he or she must complete the following statement:

I, _____, prepared the CE Form 1 in accordance with Section 112.3145, Florida Statutes, and the instructions to the form. Upon my reasonable knowledge and belief, the disclosure herein is true and correct.

CPA/Attorney Signature: _____

Date Signed: _____

FILING INSTRUCTIONS:

If you were mailed the form by the Commission on Ethics or a County Supervisor of Elections for your annual disclosure filing, return the form to that location. To determine what category your position falls under, see page 3 of instructions.

Local officers/employees file with the Supervisor of Elections of the county in which they permanently reside. (If you do not permanently reside in Florida, file with the Supervisor of the county where your agency has its headquarters.) Form 1 filers who file with the Supervisor of Elections may file by mail or email. Contact your Supervisor of Elections for the mailing address or email address to use. Do not email your form to the Commission on Ethics, it will be returned.

State officers or specified state employees who file with the Commission on Ethics may file by mail or email. To file by mail, send the completed form to P.O. Drawer 15709, Tallahassee, FL 32317-5709; physical address: 325 John Knox Rd, Bldg E, Ste 200, Tallahassee, FL 32303. To file with the Commission by email, scan your completed form and any attachments as a pdf (do not use any other format), send it to CEForm1@leg.state.fl.us and retain a copy for your records. Do not file by both mail and email. Choose only one filing method. Form 6s will not be accepted via email.

Candidates file this form together with their filing papers.

MULTIPLE FILING UNNECESSARY: A candidate who files a Form 1 with a qualifying officer is not required to file with the Commission or Supervisor of Elections.

WHEN TO FILE: Initially, each local officer/employee, state officer, and specified state employee must file **within 30 days** of the date of his or her appointment or of the beginning of employment. Appointees who must be confirmed by the Senate must file prior to confirmation, even if that is less than 30 days from the date of their appointment.

Candidates must file at the same time they file their qualifying papers.

Thereafter, file by July 1 following each calendar year in which they hold their positions.

Finally, file a final disclosure form (Form 1F) within 60 days of leaving office or employment. Filing a CE Form 1F (Final Statement of Financial Interests) does not relieve the filer of filing a CE Form 1 if the filer was in his or her position on December 31, 2020.

Howell Herring
816 N. Rio Vista Blvd.
Fort Lauderdale, FL 33301



7016 0340 0000 6607 1612

Retail



32317

U.S. POST
FCM LE
SEWANE
NOV 03,

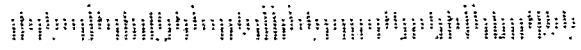
\$5.00

R2305M

RDC 99

Commission on Ethics
P.O. ~~Box~~ Drawer 15709
Tallahassee, FL 32317-5709

32317-570909



**BEFORE THE
STATE OF FLORIDA
COMMISSION ON ETHICS**

In re **Howell J Herring**
Chief Information Officer
Employees
Broward County

PID#: 289105

NOTICE OF ASSESSMENT OF AUTOMATIC FINE

The Commission on Ethics hereby gives notice of an assessment of a fine against you pursuant to Section 112.3145(8)(g), Florida Statutes, due to your failure to timely file your 2020 CE Form 1, Statement Of Financial Interests. Under the law, your 2020 CE Form 1, Statement of Financial Interests, was due by July 1, 2021. The law provided for a penalty-free grace period extending the due date to September 1, 2021. After that date, you accrued fines of \$25.00 per day for each day your financial disclosure was late, up to the maximum fine of \$1,500.00 (60 days late), pursuant to Section 112.3145(8)(g), Florida Statutes.

Inasmuch as your 2020 CE Form 1 has not been filed with the Supervisor of Elections for Broward County within the 60 days of the grace period date (September 1, 2021), you have accrued the maximum fine amount of \$1,500.00. This fine must be paid to the Commission on Ethics within 30 days of the date of *this notice unless you appeal the fine to the Commission*. The Commission has the authority to consider the appeal and waive the fine in whole or in part if your failure to file on time was due to "unusual circumstances" surrounding the failure to file. Unless the fine is successfully appealed, the Commission is required to investigate public officers and employees who receive the maximum \$1,500 fine, to determine whether their failure to file was willful. The penalty for willfully failing to file disclosure is removal from public office or employment.

HOW TO APPEAL

1. Read these instructions carefully before submitting your appeal.
2. **LEGAL AUTHORITY:** Appeals are governed by Section 112.3145(8)(g)3., Florida Statutes, and Commission Rule 34-8.215, Florida Administrative Code.
3. **FORMAT:** Your appeal must be in writing and mailed to Florida Commission on Ethics, P. O. Drawer 15709, Tallahassee, FL 32317-5709, or delivered to Florida Commission on Ethics, 325 John Knox Road, Building E, Suite 200, Tallahassee, FL 32303. The appeal may take the form of a letter or you may use the appeal form included in this mailing. The appeal form also is available at the Commission's website: www.ethics.state.fl.us. Click on "Financial Disclosure" and then the link to the sample appeal form.
4. **DUE DATE:** Your appeal must be received by the Commission on Ethics on or before **November 9, 2023**. **NOTE:** Failure to timely file an appeal will constitute a waiver of your right to appeal and will result in the entry of a default order against you.
5. **UNUSUAL CIRCUMSTANCES:** An appeal must demonstrate that you submitted your CE Form 1 after the extended due date because of "unusual circumstances." "Unusual circumstances" is defined in Commission Rule 34-8.215(4), Florida Administrative Code, as "uncommon, rare, or sudden events over which the reporting individual had no control and which directly result in the failure to act in accordance with the filing requirements." Therefore, circumstances that allowed for time to take steps necessary to file on time do not constitute "unusual circumstances" that will allow the Commission to waive the fine. You have the burden to establish "unusual circumstances." Your appeal must specifically state the circumstances that led to your not filing by September 1, 2021, and must include any documentation or evidence supporting your appeal, such as:
 - a. **SICKNESS/INJURY:** a statement from attending physician, including dates and nature of the illness or injury;
 - b. **LACK OF NOTICE (WRONG ADDRESS):** documentation that you did not reside at the address to which notice was sent;

- c. **LACK OF NOTICE (ABSENCE FROM HOME):** documentation establishing the period of time of your absence covering the notification period;
 - d. **CLAIM OF TIMELY FILING OF FINANCIAL DISCLOSURE:** (1) an affidavit from you attesting under oath or affirmation that you filed your financial disclosure and your recollection of when and how you filed and (2) a copy of a certified mail receipt and/or a copy of the completed form which was filed. If you have witnesses to your filing, we also will need an affidavit from each witness. **NOTE:** A claim of having filed the CE Form 1F for the current year does not satisfy the CE Form 1 filing requirement or excuse a late filing;
 - e. **LEFT PUBLIC POSITION BEFORE DECEMBER 31, 2020:** confirmation of your last date of office or employment by your former agency, showing the last date to be before December 31, 2020; or
 - f. **UNCLAIMED CERTIFIED MAIL:** if delinquency notice was addressed correctly but not received, you must explain why.
6. **YOUR RIGHT TO A HEARING:** You have the right to have your appeal heard by the Commission and to appear before the Commission at the hearing, but, to exercise this right, you must specifically request a hearing in your appeal. If you do not request a hearing, you will waive your right to a hearing, the Commission will determine the outcome of your appeal based upon the written record (including the documentation you provide and any documentation in your case file), and you will receive no further notice until after the Commission decides your appeal.

FAILURE TO PAY FINE OR FILE APPEAL WITHIN 30 DAYS

If you do not timely file an appeal or pay the assessed fine within 30 days of this Notice, a default order will be entered against you and the Commission will take the steps provided by law to collect the fine, including:

- Referral to the CFO of the Department of Financial Services, if you are a salaried state officer or employee, for withholding of a portion of your salary until the fine is satisfied; or
- Referral to your agency's governing body for withholding of a portion of your salary until the fine is satisfied;
- Referral to a collection agency, which can seek garnishment of your wages; and/or
- An additional civil penalty, not limited by this automatic fine, may be imposed if your disclosure statement is filed more than 60 days late and a complaint is filed against you pursuant to Section 112.324, Florida Statutes.

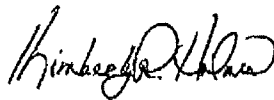
Please contact our office if you have any questions about this matter.

CERTIFICATE OF MAILING

I certify that a copy of the foregoing Notice of Assessment of Automatic Fine was furnished to:

Howell J Herring Jr
816 N Rio Vista Blvd
Ft Lauderdale, FL 33301 -2914

by Certified Mail on this Wednesday, October 11, 2023.



KIMBERLY R. HOLMES
Program Administrator

Florida Commission on Ethics
P. O. Drawer 15709
Tallahassee, FL 32317-5709

-or-

Florida Commission on Ethics
325 John Knox Road, Building E, Ste. 200
Tallahassee, FL 32303

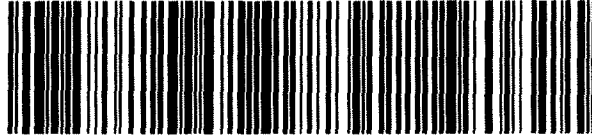
Tel.: (850) 488-7864

Fax: (850) 488-3077

Email: disclosure@leg.state.fl.us



STATE OF FLORIDA
COMMISSION ON ETHICS
PO DRAWER 15709
TALLAHASSEE, FL 32317-5709



9214 8901 0661 5400 0189 6956 66

RETURN RECEIPT (ELECTRONIC)

289105

HOWELL J HERRING JR
816 N RIO VISTA BLVD
FT LAUDERDALE, FL 33301-2914

20

URGENT - Open Immediately!

CUT FOLD HERE

Zone 4

5x9 ENVELOPE
CUT FOLD HERE

CUT FOLD HERE

Mail Piece Details

 **Print this page**

Recipient Address

HOWELL J HERRING JR
816 N RIO VISTA BLVD
FT LAUDERDALE, FL 33301-2914

Record / Case Number:
289105

Return Address

STATE OF FLORIDA
COMMISSION ON ETHICS
PO DRAWER 15709
TALLAHASSEE, FL 32317-5709

Entry Point ZIP:
32317

Mail Piece Information

Tracking Number: 92148901066154000189695666
Date Created: 10/11/2023 10:12:09 AM
Mail Class: USPS First Class Mail
Special Services: Certified Mail
Return Receipt Electronic
Memo: --
Created By: Kimberly Holmes - Commission on Ethics

Signature Information

Signed For By: HOWELL J HERRING JR
Signature Status: Available (Click Here)

*Having issues viewing the signature file?
Make sure you are using the latest version of Adobe Acrobat Reader*

Tracking Information

Pre-Shipment Info Sent To Usps, Usps Awaiting Item, October 11, 2023, 12:00:00 AM
Pre-Shipment Info Sent Usps Awaits Item, October 11, 2023, 10:41:00 AM, TALLAHASSEE,FL 32317
Delivered Left With Individual, October 20, 2023, 11:24:00 AM, MENOMONIE,WI 54751



October 20, 2023

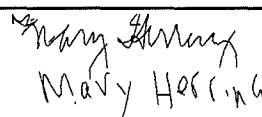
Dear MAIL MAIL:

The following is in response to your request for proof of delivery on your item with the tracking number:
9214 8901 0661 5400 0189 6956 66.

Item Details

| | |
|----------------------------|--|
| Status: | Delivered, Left with Individual |
| Status Date / Time: | October 20, 2023, 11:24 am |
| Location: | MENOMONIE, WI 54751 |
| Postal Product: | USPS Ground Advantage™ |
| Extra Services: | Certified Mail™ Return Receipt Electronic |
| Recipient Name: | HOWELL J HERRING JR |

Recipient Signature

| | |
|-------------------------|---|
| Signature of Recipient: |  |
| Address of Recipient: | 1421 8th St |

Note: Scanned image may reflect a different destination address due to Intended Recipient's delivery instructions on file.

Thank you for selecting the United States Postal Service® for your mailing needs. If you require additional assistance, please contact your local Post Office™ or a Postal representative at 1-800-222-1811.

Sincerely,
United States Postal Service®
475 L'Enfant Plaza SW
Washington, D.C. 20260-0004

The customer reference information shown below is not validated or endorsed by the United States Postal Service. It is solely for customer use.

Reference ID: 92148901066154000189695666
289105
HOWELL J HERRING JR
816 N Rio Vista Blvd
Ft Lauderdale, FL 33301-2914

**Florida Commission on Ethics
Financial Disclosure Notification System
Delinquency Certification (2021)**

I Joe Scott, the Supervisor of Elections of Broward County, hereby certify that each person whose ID number, name, agency, and position appears above or on the attached list:

(1) was sent a notice of the July 1, 2021 financial disclosure deadline and a blank Form 1, Statement of Financial Interests, not later than June 1, 2021;

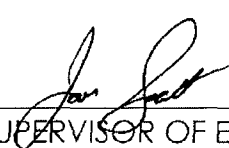
(2) was determined to be delinquent in filing a Form 1, Statement of Financial Interests, by July 1, 2021;

(3) was sent a delinquency notice by certified mail not later than August 1, 2021 advising him or her of the grace period in effect until September 1, 2021; and of the penalties that could be imposed as provided in Section 112.3145(8)(c), Florida Statutes; and

(4) did not file a Form 1, Statement of Financial Interests, until the date shown or, had not filed a Form 1, Statement of Financial Interests by October 31, 2021; and further

(5) that the date of filing shown is based upon the earliest of the following:
(a) when the Form 1 was actually received by my office;
(b) when the Form 1 was postmarked;
(c) when the certificate of mailing (if any) was dated; or
(d) when the receipt (if any) from an established courier company was dated.

Signed



SUPERVISOR OF ELECTIONS

U.S. Postal Service™
CERTIFIED MAIL® RECEIPT
Domestic Mail Only

For delivery information, visit our website at www.usps.com®

Certified Mail Fee

- Extra Services & Fees (check box, add fee as appropriate)
- Return Receipt (hardcopy) \$
 - Return Receipt (electronic) \$
 - Certified Mail Restricted Delivery \$
 - Adult Signature Required \$
 - Adult Signature Restricted Delivery \$

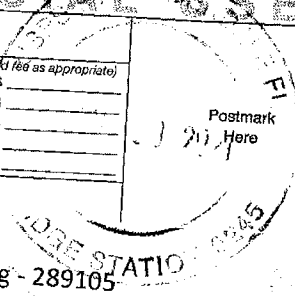
Postage

Total Post:

Sent To **Howell Herring - 289105**
Street and **816 N Rio Vista Blvd,**
City, State **Ft Lauderdale, FL 33301**

PS Form 3800, April 2015 PSN 7530-02-000-9007

7018 0680 0000 0648 2891





Financial Disclosure Management System
THE FLORIDA COMMISSION ON ETHICS

Filer - Fines and Appeals - PID 289105 - Howell J Herring Jr

Filer Information

Org Membership

Forms

Communications

Fines and Appeals >

View All

Filer Flags

- [2000](#) [2001](#) [2002](#) [2003](#) [2004](#)
- [2005](#) [2006](#) [2007](#) [2008](#) [2009](#)
- [2010](#) [2011](#) [2012](#) [2013](#) [2014](#)
- [2015](#) [2016](#) [2017](#) [2018](#) [2019](#)
- [2020\(S\)](#) [2021](#) [2022](#) [2023](#)

<<2023 Form Year

Status

Filing: INACTIVE

Fine: No Fine

Flags

Public Address

Filing Extensions

Indefinite: None

Temporary:

None

Eligible for Fines

The filer has fines for: [2021 \(Appeal\)](#)

2021 Fines and Appeals

Form Year 2020 Filed Forms

| Received Date | Form Type | Form Signed | Filed by Email | Filing Location | Updated | Comments |
|---------------|-----------|-------------|----------------|-----------------|-----------------------|--|
| 11/07/23 | Form 1 | Yes | No | SOE | holmesk on 11/13/2023 | Received by COE on behalf of Broward Supervisor of Elections |

2021 Fine Information

[Update Fine Information](#)

[Assign Agency Contact](#)

| Fine Balance | Fine Status | Fine Date | Original Assessment | Fine Amount | Last Payment Date | Payment Plan Start Date | Payment Plan Amount |
|--------------|-------------|------------|---------------------|-------------|-------------------|-------------------------|---------------------|
| \$1,500.00 | Appeal | 10/10/2023 | \$1,500.00 | \$1,500.00 | | | |

Fine Address 816 N Rio Vista Blvd Ft Lauderdale FL 33301-2914

Org/Suborg Broward County-Employees

2021 Fine Payment History

| Date Posted | Description | Amount | Method | Payment ID | Comments |
|-------------|-------------|--------------|--------|------------|-----------------|
| 10/10/2023 | Fine Levied | + \$1,500.00 | | | Fined \$1500.00 |

Current Balance: \$1,500.00

Add a New Filer

Jump To A Filer

PID:

Quick Filer Search

First Name:

Last Name:

2021 Fine Year Event

Chronology

| <input checked="" type="checkbox"/> Date | Type | Description | Reference |
|--|---------------|----------------------------|---|
| <input type="radio"/> 08/20/2021 | Postcard Sent | Courtesy Postcard Reminder | Print Queue: 8/20/2021 Printing Confirmed: 8/20/2021 |

Letter Sent To:
Howell J Herring Jr
816 N Rio Vista Blvd
Ft Lauderdale, FL 33301 -2914

| | | | |
|---------------------------------|-------------|--------------------------------------|--|
| <input type="radio"/> 09/8/2021 | Letter Sent | Courtesy Notice of Fines Accruing | Print Queue: 9/8/2021 Printing Confirmed: 9/8/2021 |
|---------------------------------|-------------|--------------------------------------|--|

Letter Sent To:
Howell J Herring Jr
816 N Rio Vista Blvd
Ft Lauderdale, FL 33301 -2914

| | | | |
|------------|----------------------------|---------------------|--|
| 10/10/2023 | Fine Levied | Fined \$1500.00 | Journal: 10/10/2023 9:33 AM |
| 10/10/2023 | Notice of Assessed Fine | Initial Fine Notice | Journal: 10/10/2023 9:46 AM |

| | | | |
|---|-------------|--|---|
| <input checked="" type="radio"/> 10/11/2023 | Letter Sent | Notice of Assessed Fine - Filer 1st Fine Letter | Print Queue: 10/11/2023 Printing Confirmed: 10/11/2023 |
|---|-------------|--|---|

Letter Sent To:
Howell J Herring Jr
816 N Rio Vista Blvd
Ft Lauderdale, FL 33301 -2914

| | | | |
|--|-------------|-------------|---|
| <input checked="" type="radio"/> 11/7/2023 | Letter Sent | Fine Appeal | Print Queue: 11/7/2023 Printing Confirmed: 11/7/2023 |
|--|-------------|-------------|---|

Letter Sent To:
Howell J Herring Jr

816 N Rio Vista Blvd
 Ft Lauderdale, FL 33301 -2914

11/7/2023 Form Form 1 Received, Signed Form 1 Received by
 Received Received by COE on
 behalf of Broward
 Supervisor of Elections
 SOE

Form Received By:
 Filing Location: Broward County SOE
 Record Created By: Kimberly Holmes on 11/13/2023

11/13/2023 Fine Appeal FD 21-043 Journal: 11/13/2023
 12:36 AM

| | | |
|------------------------------------|----------------------|-------------------|
| 2021 Fine Appeal – FD 21-043 | Update Appeal | Withdraw Appeal |
| | Assign Attorney | Request More Info |
| Record Appeal Outcome | | |
| Appeal Status: | No Hearing Requested | |
| Active | | |
| Appeal Receipt Date: 11/07/2023 | | |
| Timely Filed: Yes | | |
| Print Appeal | | |
| Letter: Yes | | |
| Hearing Requested: No | | |
| Appeal Reason: Other | | |
| Appeal Notes: | | |
| Appeal Number: FD 21-043 | | |
| Appeal Analyst Assigned: | | |
| Final Order Number: | | |
| Final Order Date: | | |